

**Gates & Cooper** LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner Jeffrey N. Zahn  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Bradley K. Lortz  
OUR REF.: G&C 122.2-US-U1  
TELEPHONE: (310) 642-4149

**FAX RECEIVED**

FEB 6 2003

Total pages, including cover letter: **14**

TECHNOLOGY CENTER 2800

**PTO FAX NUMBER: 703 872 9318**

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	AMENDMENT UNDER 37 C.F.R. 1.111 INCLUDING AN APPENDIX SHOWING THE CHANGES MADE
Applicant:	Larry A. Coldren et al.
Serial No.:	09/872,438
Filed:	June 1, 2001
Group Art Unit:	2828
Our Ref. No.:	G&C 122.2-US-U1

By: \_\_\_\_\_

Name: Bradley K. Lortz  
Reg. No.: 45,472

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature

Date

BKL/amb

G&amp;C 122.2-US-U1

Due Date: February 6, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Larry A. Coldren et al. Examiner: Jeffrey N. Zahn  
 Serial No.: 09/872,438 Group Art Unit: 2828  
 Filed: June 1, 2001 Docket: G&C 122.2-US-U1  
 Title: HIGH-POWER, MANUFACTURABLE SAMPLED GRATING DISTRIBUTED BRAGG REFLECTOR LASERS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on February 6, 2003.

By:   
 Name: Bradley K. Lortz

Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Amendment Under 37 C.F.R. 1.111 including an Appendix showing the changes made.

FAX RECEIVED

FEB 6 2003

TECHNOLOGY CENTER 2800

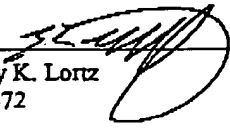
CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
<b>Total Claims</b>				
28	39	0	x \$9.00	= \$0.00
<b>Independent Claims</b>				
2	3	0	x \$42.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462  
**GATES & COOPER LLP**  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By:   
 Name: Bradley K. Lortz  
 Reg. No.: 45,472  
 BKL/amb

G&amp;C 122.2-US-U1


Due Date: February 6, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Larry A. Coldren et al. Examiner: Jeffrey N. Zahn  
Serial No.: 09/872,438 Group Art Unit: 2828  
Filed: June 1, 2001 Docket: G&C 122.2-US-U1  
Title: HIGH-POWER, MANUFACTURABLE SAMPLED GRATING DISTRIBUTED  
BRAGG REFLECTOR LASERS

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8.

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on February 6, 2003.

By:   
Name: Bradley K. Lortz

AMENDMENT UNDER 37 C.F.R. §1.111

FAX RECEIVED

Commissioner for Patents  
Washington, D.C. 20231

FEB 6 2003

TECHNOLOGY CENTER 2800

Dear Sir:

In response to the Office Action dated November 6, 2002, please amend the above-identified application as follows. Please note that, in compliance with the rules under 37 C.F.R. § 1.121, the amended claims are presented in "clean" form with marked-up versions provided in the Appendix.